

This short note is for details of the Laughlin argument (R. B. Laughlin, Phys. Rev. B **23**, 5632R (1981)), which is the key argument for all topological phases.

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I. GAUGE TRANSFORMATION

A. Classical mechanics

Charged particles in EM field

$$L = \frac{1}{2}m\dot{\mathbf{r}}^2 - e\phi + \dot{\mathbf{r}} \cdot \mathbf{A}$$

$$\mathbf{p} = \frac{\partial L}{\partial \dot{\mathbf{r}}} = m \cdot \dot{\mathbf{r}} + e\mathbf{A}$$

$$H = \mathbf{p} \cdot \dot{\mathbf{r}} - L = \frac{1}{2m}(\mathbf{p} - e\mathbf{A})^2 + e\phi$$

$$e\dot{\mathbf{r}} = e\mathbf{v} = \frac{e}{m}(\mathbf{p} - e\mathbf{A}) = -\frac{\partial H}{\partial \mathbf{A}}$$

Gauge transformation

$$\mathbf{A}' = \mathbf{A} + \nabla\chi, \quad \phi' = \phi - \frac{\partial\chi}{\partial t}$$

$$\mathbf{B}' = \text{rot } \mathbf{A}' = \mathbf{B}$$

$$\mathbf{E}' = -\frac{\partial \mathbf{A}'}{\partial t} - \nabla\phi' = \mathbf{E}$$

$$L' = L + e(\dot{\mathbf{r}} \cdot \nabla\chi + \frac{\partial\chi}{\partial t}) = L + \frac{d}{dt}\chi(\mathbf{r}(t), t)$$

$$\mathbf{p}' = \frac{\partial L'}{\partial \dot{\mathbf{r}}} = \mathbf{p} + e\nabla\chi$$

$$\mathbf{p}' - e\mathbf{A}' = \mathbf{p} - e\mathbf{A}$$

$$\dot{\mathbf{r}}' = \dot{\mathbf{r}}$$

$$H' = H - e\frac{\partial\chi}{\partial t}$$

B. Quantum mechanics

The gauge covariance of the Schrodinger eq. requires

$$H = \frac{1}{2m}(-i\hbar\nabla - e\mathbf{A})^2 + e\phi$$

$$H' = \frac{1}{2m}(-i\hbar\nabla - e\mathbf{A}')^2 + e\phi'$$

$$i\hbar\frac{\partial\psi}{\partial t} = H\psi$$

$$i\hbar\frac{\partial\psi'}{\partial t} = H'\psi'$$

$$(i\hbar\frac{\partial}{\partial t} - e\phi)\psi = \frac{1}{2m}(-i\hbar\nabla - e\nabla\mathbf{A})^2\psi$$

$$(i\hbar\frac{\partial}{\partial t} - e\phi')\psi' = \frac{1}{2m}(-i\hbar\nabla - e\nabla\mathbf{A}')^2\psi'$$

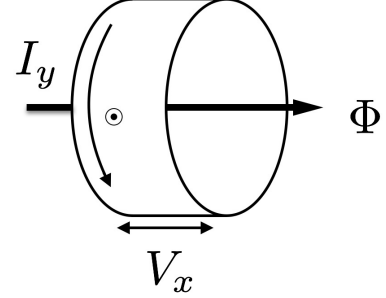


FIG. 1. Laughlin's geometry

Writing the wavefunction as

$$\psi' = \psi \exp(i\frac{e\chi}{\hbar}) = \psi \exp(i2\pi\frac{e\chi}{h}) = \psi \exp(i2\pi\frac{\chi}{\Phi_0})$$

we have

$$\begin{aligned} (i\hbar\frac{\partial}{\partial t} - e\phi')\psi' &= \exp(\frac{e\chi}{i\hbar}) \left[i\hbar\frac{\partial}{\partial t} - e(\phi' + \frac{\partial\chi}{\partial t}) \right] \psi \\ &= \exp(\frac{e\chi}{i\hbar}) (i\hbar\frac{\partial}{\partial t} - e\phi)\psi \end{aligned}$$

$$\begin{aligned} (-i\hbar\nabla - e\mathbf{A}')\psi' &= \exp(\frac{e\chi}{i\hbar}) \left[-i\hbar\nabla - e(\mathbf{A}' - \nabla\chi) \right] \psi \\ &= \exp(\frac{e\chi}{i\hbar}) (-i\hbar\nabla - e\mathbf{A})\psi \end{aligned}$$

It implies the consistency of the gauge transformation.

II. LAUGHLIN ARGUMENT

Let us discuss the situation in Fig.fig:laughlin

A. Byers-Yang formula

Noting that $e < 0$, one has for an N particle system on the cylindrical geometry, electron density $n = N/L_x L_y$ and

$$I_y = -e\langle v_y \rangle n L_x$$

since $-e\langle v_y \rangle$ is a current density and L_x is a section of the cylinder. Here the average velocity of the electrons

are estimated as

$$(-e)\langle v_y \rangle = \frac{1}{N} \sum_i^N \frac{\partial H}{\partial A_y} = \frac{1}{N} \frac{\delta E}{\delta A_y}$$

where E is a total energy of the N -electron system. Then one arrive at the formula by Byers-Yang as

$$\begin{aligned} I_y &= \frac{1}{N} \frac{\delta E}{\delta A_y} \frac{N}{L_x L_y} L_x \\ &= \frac{1}{L_y} \frac{\delta E}{\delta A_y} \\ &= \frac{\delta E}{\delta \Phi} \quad : \text{Byers-Yang, PRL 7, 46 (1961)} \end{aligned}$$

where we assume

$$\begin{aligned} A_y &= \text{const.} \\ \Phi &\equiv L_y A_y \end{aligned}$$

See. Fig.1 and the discussion in the next section.

B. AB flux

Introduction of Φ is described by the vector potential \mathbf{A}_Φ

$$\oint_{\partial S} d\mathbf{r} \cdot \mathbf{A}_\Phi = \int_S d\mathbf{S} \cdot \text{rot } \mathbf{A}_\Phi = \Phi$$

where ∂S is a boundary of the cylinder of the length L_y .

Then one can choose $\mathbf{A}' = 0$ by taking

$$\nabla \chi = -\mathbf{A}_\Phi$$

Note that this is only possible when

$$\text{rot } \mathbf{A}_\Phi = 0.$$

One form of such a solution is

$$\chi = -\frac{y}{L_y} \Phi,$$

$$\mathbf{A}_y = -\nabla \chi = \frac{\hat{y}}{L_y} \Phi = \text{const.}$$

$$\oint_{\partial S} d\mathbf{r} \cdot \mathbf{A}_\Phi = \int_0^{L_y} d\hat{y} \cdot \mathbf{A}_y = \Phi.$$

Then assuming the periodic boundary condition for ψ ,

$$\psi(x, y + L) = \psi(x, y)$$

the gauge transformed wave function ψ' satisfies

$$\psi'(x, y + L) = e^{i2\pi \frac{\Phi}{\Phi_0}} \psi'(x, y)$$

although \mathbf{A}_Φ does not appear in the Schrodinger equation for ψ'

$$i\hbar \frac{\partial}{\partial t} \psi' = \left[\frac{1}{2m} (\mathbf{p} - e\mathbf{A})^2 + e\phi \right] \psi'$$

$$i\hbar \frac{\partial}{\partial t} \psi = \left[\frac{1}{2m} (\mathbf{p} - e(\mathbf{A} + \mathbf{A}_\Phi))^2 + e\phi \right] \psi'$$

Generically the flux Φ does modifies the system through the boundary condition. However if

$$\Phi = n\Phi_0, \quad n \in \mathbb{Z}$$

the flux Φ does not affect the system.

C. Laughlin argument

When the system is sufficiently large, effects of Φ for the local hamiltonian is $\mathcal{O}(L_y^{-1})$. Then let us consider an adiabatic increase of the Φ . By replacing $\delta\Phi$ to a finite difference $\Delta\Phi$, one has

$$I_y = \frac{\Delta E}{\Delta\Phi}$$

When $\Delta\Phi = \Phi_0$, the system goes back to the original state. Then only a possible modification of the system in the adiabatic process is that $n \in \mathbb{Z}$ electrons are passing through the system. Now we have an estimate

$$\Delta E = neV_x$$

and

$$I_y = \frac{neV_x}{h/e} \equiv \sigma_{yx} V_x$$

it implies

$$\sigma_{yx} = \frac{e^2}{h} n$$

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