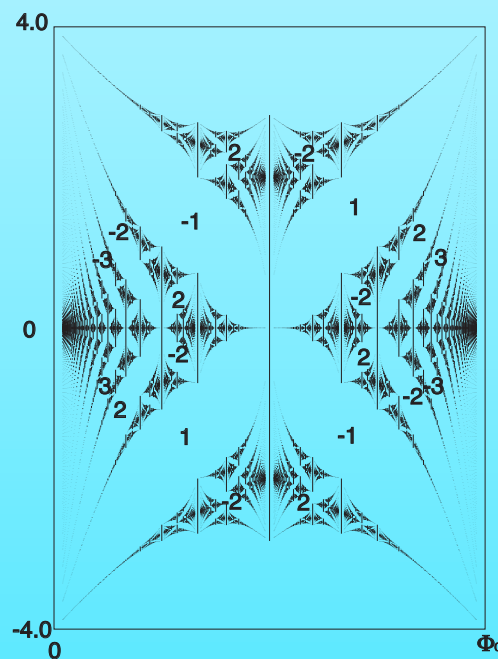
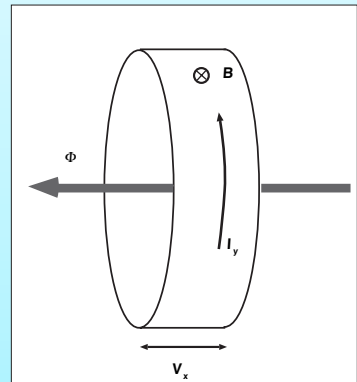


Explicit Gauge Fixing for

Degenerate Multiplets

Generic Setup for Topological Orders



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Ref. Y. Hatsugai, cond-mat/0405551, to appear in JPSJ Lett.

Plan of today's Talk

★ Topological Order

- ★ Universal ? Useful?

- ★ Need Physical Quantities without Any Symmetry Breaking

★ Chern Numbers

- ★ (Spin) Hall Conductance

- ★ Gauge Independent Quantities

- ★ Need Explicit Gauge Fixing

★ Novel Generic Explicit Gauge Fixing

- ★ Allow Degeneracies

 - ★ ex. Unitary Superconductors

- ★ Not Necessarily for Hermit Operators:

 - ★ Normal Operators

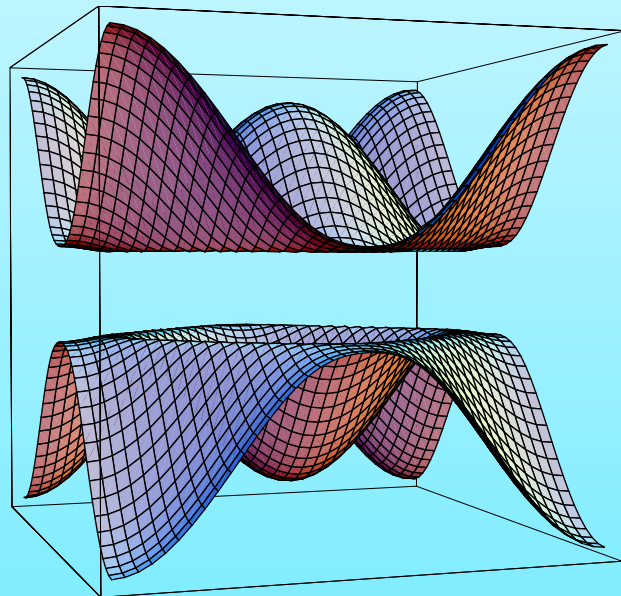
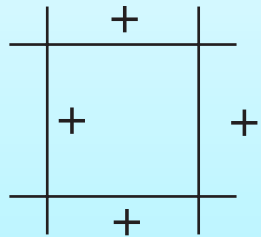
Topological Orders: Useful !

- ★ Quantum Hall Effects (Integer & Fractional)
 - ★ Bulk v.s. Edge
- ★ Haldane Spin Chain with Integral Spin
 - ★ Energy Gap, Localized Kennedy Triplets as Edge States
- ★ Polyacetylene (SSH model)
- ★ High-T_c (Chiral Spin State, Anyon-superconductivity)
- ★ Chirality Order in Itinerant Magnetism
- ★ Polarization of Insulators, KSV formula
- ★ Anisotropic Superconductivity & Superfluidity
 - ★ Zero Bias Peak and Boundary Time Reversal Symmetry
 - Breaking as a Pierles Instabilities of 1D Zero modes Bands
 - ★ Topological Origin of Gap Nodes of Superconductor
- ★ Carbon Nano-Structures
 - ★ Boundary Local Moments as Edge States, Pierles Instabilities

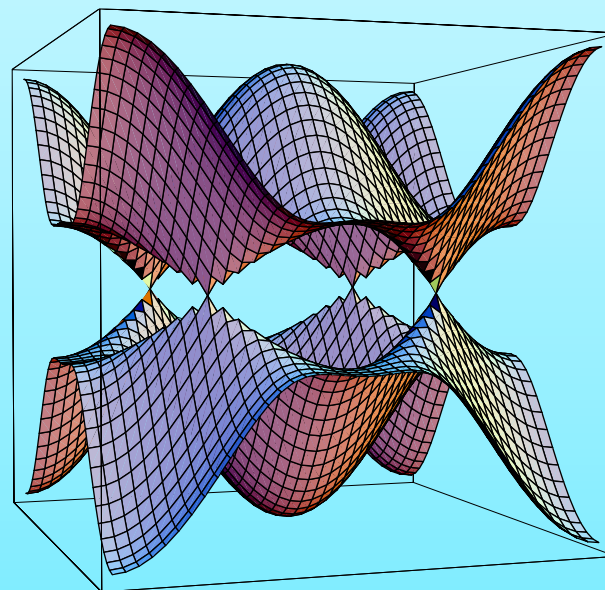
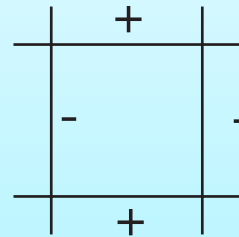
Various Superconductors: Symmetry of the Order Parameter is Enough?

★ 2D Examples (Singlet)

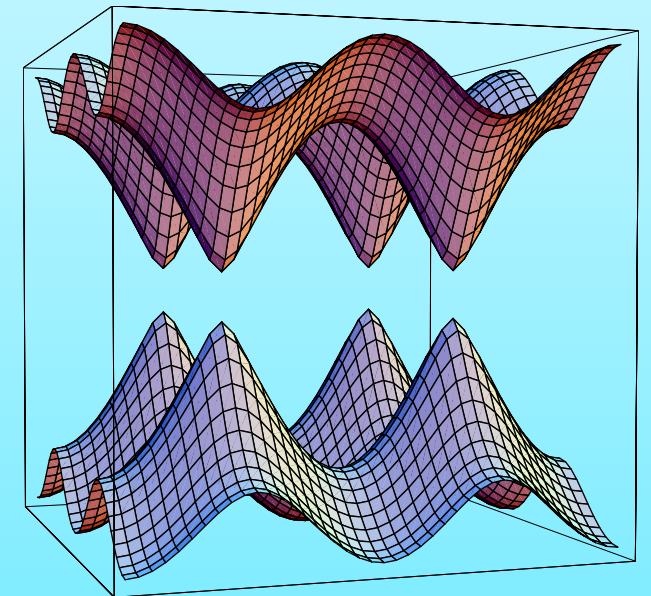
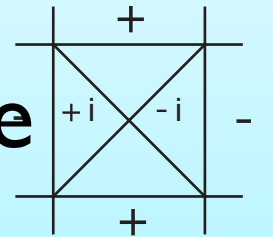
s-wave



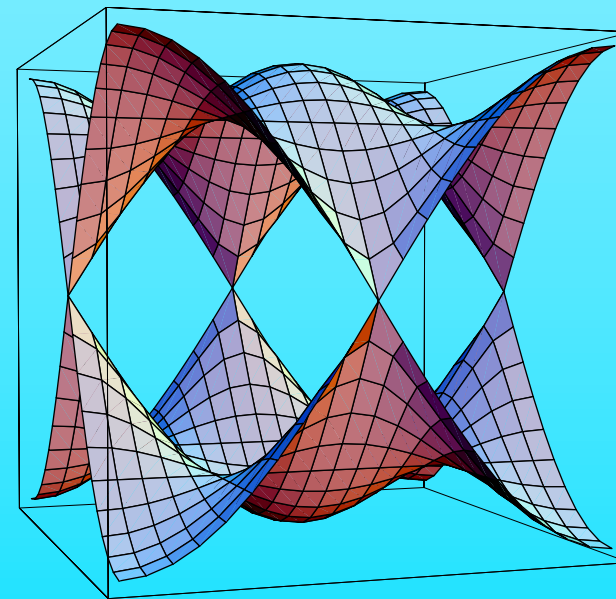
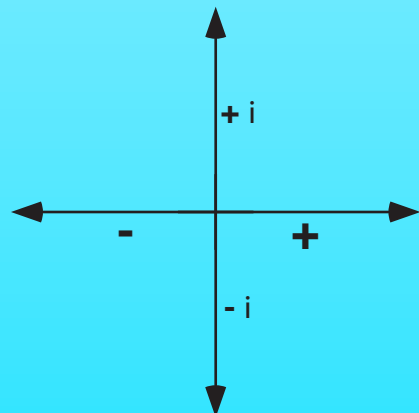
d-wave



d+id-wave



★ 2D Examples (Triplet) : Chiral p wave



What is a Topological Order?

- ★ Try to Characterize Quantum Mechanical states
 - Without Any Symmetry Breaking
- ★ Use Geometrical Phases
 - ★ Gauge Structures naturally appear
- ★ Need Physical Quantities : Topological Numbers
 - ★ The (first) Chern Numbers
 - ★ Gauge Invariants
- ★ Need Explicit Gauge Fixing to Calculate
- ★ Allow Degeneracies

Template: Quantum Hall Effects

- ★ Hall Conductance as a topological Numbers $\sigma_{xy} = \frac{e^2}{h} N_j$, $N_j = \sum_{\epsilon_j(k) < E_F} C_j$
- ★ Chern Number C_j

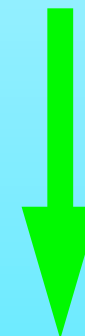
$$C_j = \frac{1}{2\pi i} \int d^2 k \mathcal{B}_z(\mathbf{k})$$

$$\mathcal{B}(\mathbf{k}) = \nabla_{\mathbf{k}} \times \mathcal{A}(\mathbf{k}), \quad \mathcal{A}(\mathbf{k}) = \langle \mathbf{k} | \nabla_{\mathbf{k}} \mathbf{k} \rangle$$

- ★ How to define a well defined Derivative ?

$$H(\mathbf{k}) \quad \psi(\mathbf{k}) = \epsilon(\mathbf{k}) \psi(\mathbf{k}) \quad \psi(\mathbf{k}) \rightarrow e^{i\theta} \psi(\mathbf{k})$$

Phase is arbitrary



Kohmoto 85

Rule: Take the first component be real positive (Gauge fixing)

- ★ When the states have a Degeneracy, we need More.

$$a(\mathbf{k})\psi_a(\mathbf{k}) + b(\mathbf{k})\psi_b(\mathbf{k})$$

Definitions:

Normal Operators and Multiplets

★ Normal N dim. Matrix ($L^\dagger L = LL^\dagger$) : Diagonalizable by a Unitary Matirix

$$L(x)\psi_i(x) = \epsilon_i(x)\psi_i(x) \quad x \in V : \text{Parameter space}$$

ex. $L(x) = H(k_x, k_y)$

$$L\mathcal{U} = \mathcal{U}\mathcal{E}, \quad \mathcal{E} = \text{diag}(\epsilon_1, \dots, \epsilon_N)$$

★ Multiplet: M dim. Linear space spanned by $\{\psi_1(x), \psi_2(x), \dots, \psi_M(x)\}$

$$W = W_1 \oplus W_2 \oplus \dots \oplus W_M$$

$$= \{c_1\psi_1 + c_2\psi_2 + \dots + c_M\psi_M\}, \quad c_j \in \mathbb{C}$$

M

$N \times M$ Matrix

$$\Psi(x) = (\psi_1, \psi_2, \dots, \psi_M) = \begin{matrix} N & \Psi & = & \psi_1 & \cdots & \psi_M \end{matrix}$$

$$\Psi^\dagger \Psi = I_M : \text{Orthonormalized } \psi_i^\dagger \psi_j = \delta_{ij}$$

Base Change of the Multiplet

★ Base Change in \mathcal{W} $\Psi' = \Psi \omega, \quad \omega^\dagger \omega = \omega \omega^\dagger = I_M$

$$\Psi'^\dagger \Psi' = I_M$$

★ If L has a degenerate eigen space !

$$L\psi_j = \epsilon\psi_j \rightarrow L\psi'_j = \epsilon\psi'_j$$

$$\psi'_j = \omega_{j1}\psi_1 + \omega_{j2}\psi_2 + \cdots + \omega_{jM}\psi_M$$

★ We may allow this base change **even without** degeneracy
as for just a linear space

What do we **need** to have
a **well-defined** multiplet ?

Projection and Generic Gap

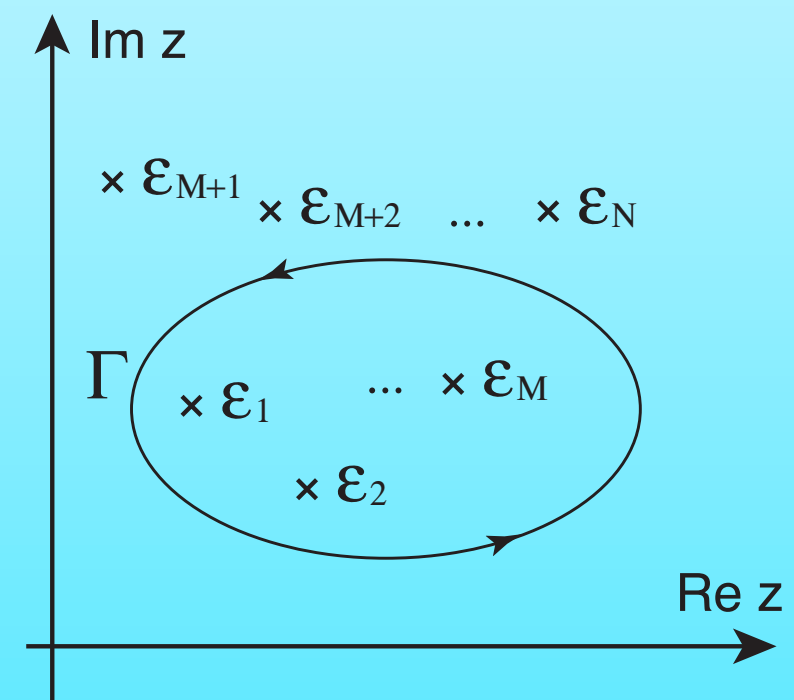
★ Projection into W should be well-defined!

$$P = \Psi \Psi^\dagger = \Psi' \Psi'^\dagger = \boxed{\Psi} \boxed{\Psi^\dagger} = \boxed{P}$$

★ Integral Expression of P (T. Kato)

$$P = \sum_{i=1}^M P_i = \frac{1}{2\pi i} \oint_{\Gamma} G_T(z)$$

$$G_T(z) = \frac{1}{zI - L} = \sum_i \frac{P_i}{z - \epsilon_i}, \quad P_i = \psi_i \psi_i^\dagger$$



★ Condition for a Generic Gap

$$\epsilon_i(x) \neq \epsilon_j(x) \quad \forall x \in V$$

$$i \in I^{in} = \{1, \dots, M\}, \quad j \in I^{out} = \{M+1, \dots, N\}$$

Connection and Gauge Transformation

★ Connection (Non-Abelian)

$$\mathcal{A} = \Psi^\dagger d\Psi = \begin{array}{|c|} \hline \Psi^\dagger \\ \hline \end{array} \begin{array}{|c|} \hline d\Psi \\ \hline \end{array} = \begin{array}{|c|} \hline \Psi^\dagger d\Psi \\ \hline \end{array}$$

$\begin{array}{cc} \text{M} & \text{N} \\ \text{N} & \text{M} \end{array}$

$$= \Psi^\dagger \frac{\partial \Psi}{\partial x_i} dx_i$$

★ Gauge Transformation (Base Change)

$$\begin{aligned} \Psi' &= \Psi \omega \\ \mathcal{A}' &= \Psi' d\Psi' \\ &= \omega^{-1} \mathcal{A} \omega + d \log \omega \end{aligned}$$

★ Field Strength $\mathcal{F} = d\mathcal{A} + \mathcal{A}\mathcal{A}$

$$\mathcal{F}' = \omega^{-1} \mathcal{F} \omega$$

$$\text{Tr}_{\text{M}} \mathcal{F} = \text{Tr}_{\text{M}} \mathcal{F}' = \text{Tr} d\mathcal{A} \quad \text{cf. Wilczek \& Zee (Berry Phase)}$$

Chern Numbers and Patch Work

★ Chern Numbers

$$C_S = \frac{1}{2\pi i} \int_S \text{Tr } \mathcal{F} = \frac{1}{2\pi i} \int_S \text{Tr } d\mathcal{A}$$

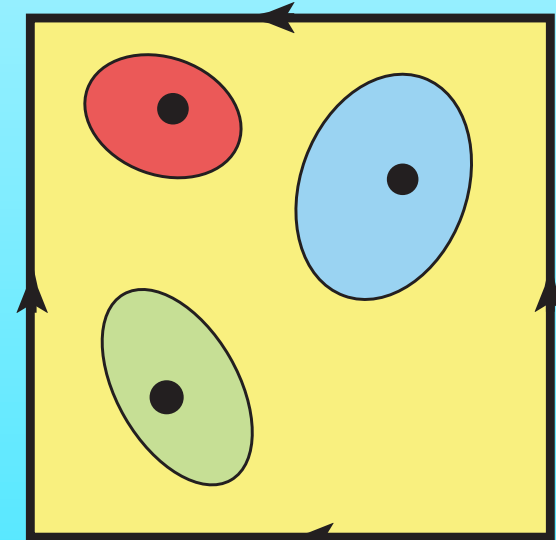
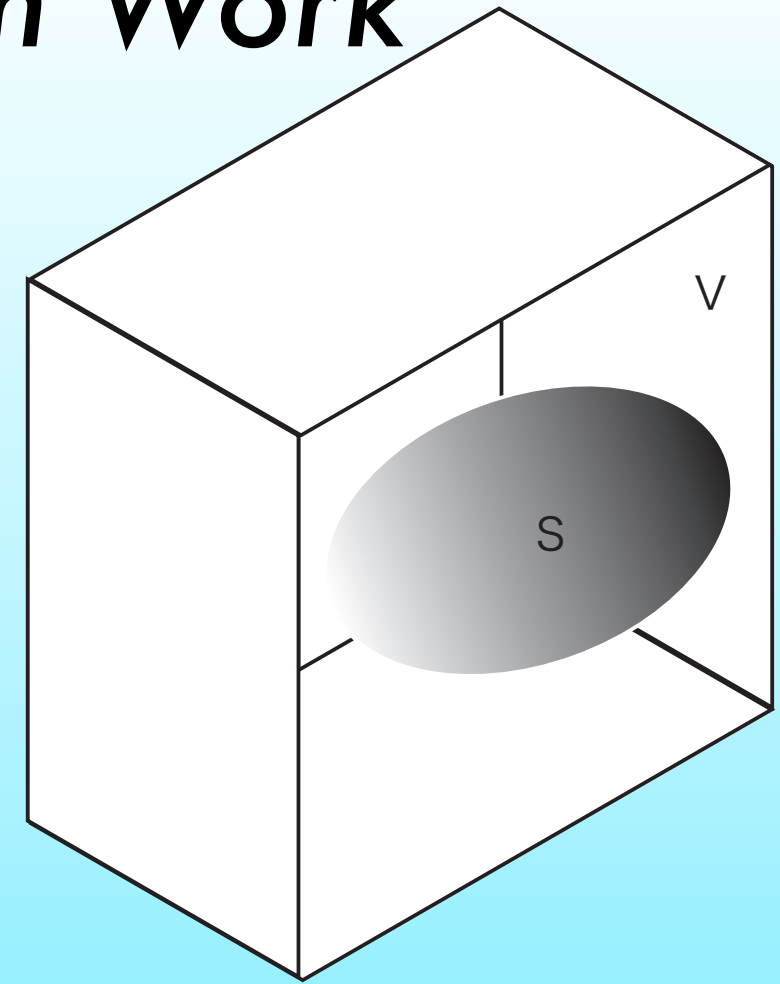
★ Patch Work on S

$$C_S = \frac{1}{2\pi i} \sum_R \int_{S_i} d\text{Tr } \mathcal{A}_R = \frac{1}{2\pi i} \sum_R \int_{\partial S_R} \text{Tr } \mathcal{A}_R$$

$$= \frac{1}{2\pi i} \sum_{R \geq 1} \int_{\partial S_R} \text{Tr } (\mathcal{A}_R - \mathcal{A}_0)$$

$$= \frac{1}{2\pi} \sum_{R \geq 1} \int_{\partial S_R} \text{Im Tr } \omega_{0R}^{-1} d\omega_{0R}$$

$$= \frac{1}{2\pi} \sum_{R \geq 1} \int_{\partial S_R} \text{Im Tr } d \log \omega_{0R}$$



$$\mathcal{A}(x) = \mathcal{A}_R(x), \quad x \in S_R, \quad S = \cup S_R, \quad R = 0, 1, 2, \dots$$

$$\Psi_R = \Psi_0 \omega_{0R}$$

$$\mathcal{A}_R = \omega_{0R}^{-1} \mathcal{A}_0 \omega_{0R} + \omega_{0R}^{-1} d\omega_{0R}$$

Sum Rule and Quantum Phase Transition

★ Direct Sum of the Linear Space $W = W_1 \oplus W_2$

$$\Psi = (\Psi_1, \Psi_2) = \begin{array}{|c|c|} \hline \Psi_1 & \Psi_2 \\ \hline \end{array} \quad \mathcal{A} = \Psi^\dagger d\Psi = \begin{array}{|c|c|} \hline \mathcal{A}_1 & \\ \hline & \mathcal{A}_2 \\ \hline \end{array}$$

$$\text{Tr } \mathcal{A} = \text{Tr } \mathcal{A}_1 + \text{Tr } \mathcal{A}_2$$

$$\mathcal{A}_1 = \Psi_1^\dagger d\Psi_1, \quad \mathcal{A}_2 = \Psi_2^\dagger d\Psi_2$$

★ Sum Rule of the Chern Number (ex. Multi Landau Levels)

$$C_S(W_1 \oplus W_2) = C_S(W_1) + C_S(W_2)$$

$$W_1 \oplus W_2 \Leftrightarrow W_1 \oplus W_3$$

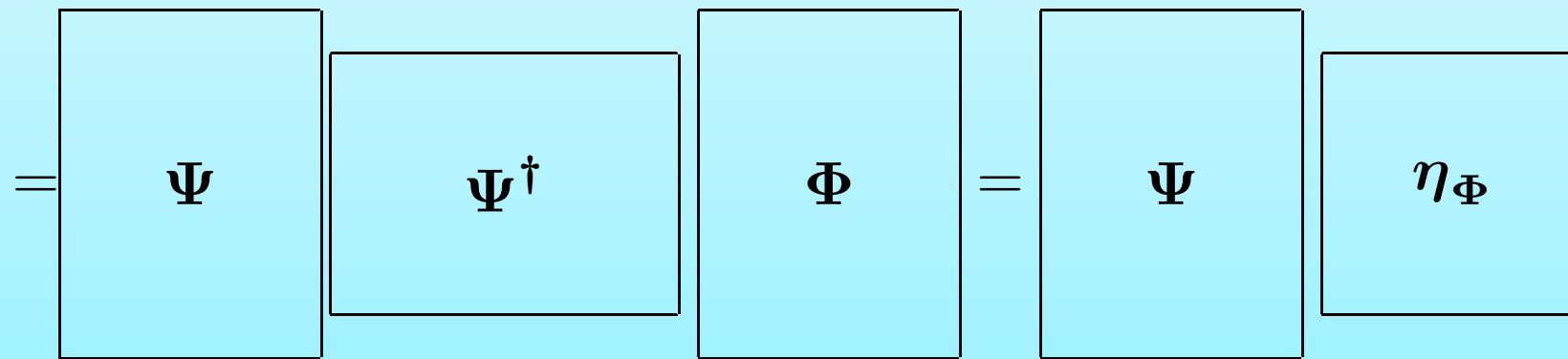
$$C_S(W_1) + C_S(W_2) \Leftrightarrow C_S(W_1) + C_S(W_3)$$

Explicit Gauge Fixing

★ Arbitrary **Trial** Multiplet : $\Phi : \Phi^\dagger \Phi = I_M$

★ **Unnormalized** Multiplet by a Trial State Ψ_Φ^U

$$\Psi_\Phi^U = P\Phi = \Psi\Psi^\dagger\Phi = \Psi\eta_\Phi, \quad \eta_\Phi = \Psi^\dagger\Phi$$



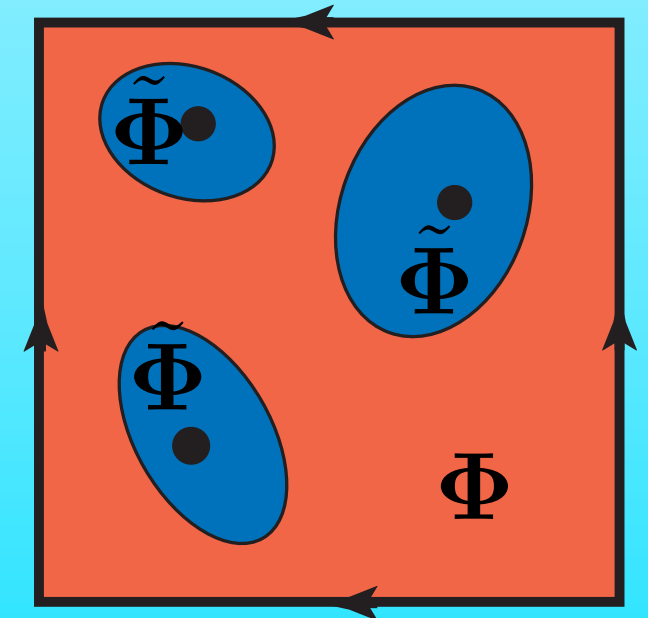
★ **Normalizable** only if the **Norm does not vanish**: $\det O_\Phi = \Psi_\Phi^{U\dagger} \Psi_\Phi^U$

$$\overline{\Psi}_\Phi = \Psi_\Phi^U \left(\Psi_\Phi^{U\dagger} \Psi_\Phi^U \right)^{-\frac{1}{2}} = \det \eta_\Phi^\dagger \eta_\Phi \neq 0$$

★ When the Norm vanishes, we need **another** trial state

★ $\det O_\Phi = |\det \eta_\Phi|^2 = 0$: Define a **Vortex**

→ Different Gauge $\Phi, \tilde{\Phi}$



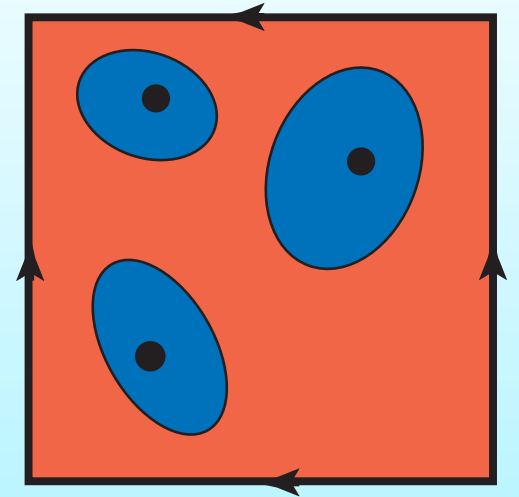
Gauge Transformation for the Multiplet

★ Gauge Transformation between different Gauges

$$\overline{\Psi}_{\tilde{\Phi}} = \overline{\Psi}_{\Phi} \omega_{\Phi \tilde{\Phi}}$$

$$\begin{aligned} \omega_{\Phi \tilde{\Phi}} &= (\eta_{\Phi}^{\dagger} \eta_{\Phi})^{1/2} \eta_{\Phi}^{-1} \eta_{\tilde{\Phi}} (\eta_{\tilde{\Phi}}^{\dagger} \eta_{\tilde{\Phi}})^{-1/2} \\ &= o_{\Phi} \eta_{\Phi}^{-1} \eta_{\tilde{\Phi}} o_{\tilde{\Phi}}^{-1} \end{aligned}$$

$o_{\Phi}, o_{\tilde{\Phi}}$: positive definite



$$\text{Im Tr log } \omega_{\Phi \tilde{\Phi}} = - \text{Im Tr log } (\eta_{\tilde{\Phi}}^{\dagger} \eta_{\Phi}) = - \text{Im Tr log } \tilde{\Phi}^{\dagger} P \Phi$$

★ Chern Number by the present Transformation Matrix $\omega_{\Phi \tilde{\Phi}}$

$$C_S = \frac{1}{2\pi} \oint_{\partial S_0^{\Phi}} d\Omega = N_{\Omega}(S_0^{\Phi})$$

$$\Omega = \text{Im Tr log } \tilde{\Phi}^{\dagger} P \Phi$$

$$= \text{Arg det } \tilde{\Phi}^{\dagger} P \Phi$$

Example I: One Dimensional Case

★ The Multiplet is one-dimensional: Classic situation

$$\Psi = \psi = \boxed{\psi}, \quad P = \boxed{\psi} \boxed{\psi^\dagger}$$

★ Take

$$\Phi = \begin{pmatrix} 1 \\ 0 \\ \vdots \\ 0 \end{pmatrix}, \quad \tilde{\Phi} = \begin{pmatrix} 0 \\ \vdots \\ 0 \\ 1 \end{pmatrix}.$$

★ Then

$$\tilde{\Phi} P \Phi = (P)_{N1} = \psi_N^* \psi_1$$

$$C_S = \frac{1}{2\pi} \oint_{\partial S_0^\Phi} \text{Im} d \log \frac{\psi_1}{\psi_N}$$

$$O_\Phi = \eta_{\tilde{\Phi}}^\dagger \eta_\Phi = |\psi_1|^2$$

Classic Expression

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Example II: Dirac Monopole and Strings

★ Move Strings To Anywhere: It does not have any physical Meaning

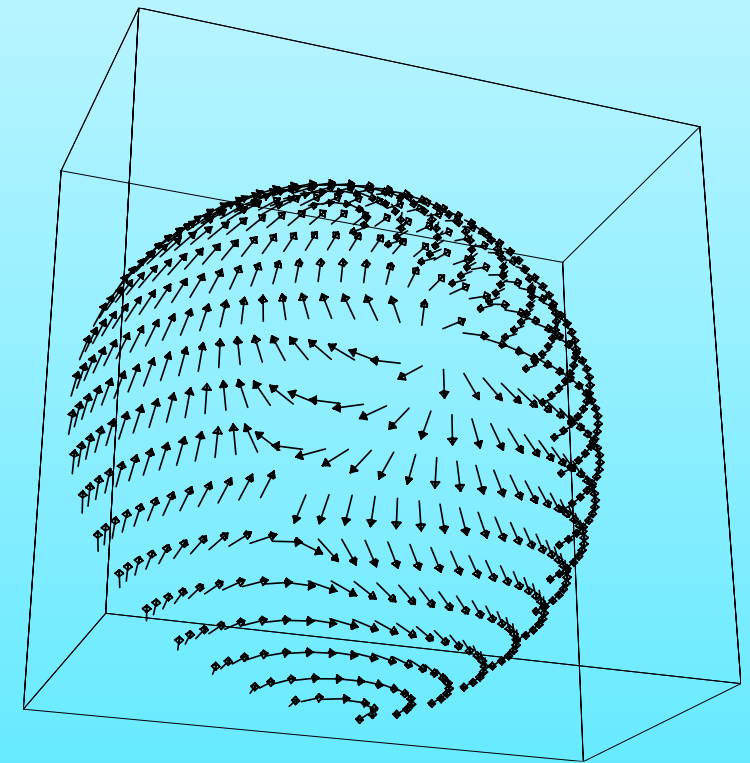
$$\mathbf{H}(x) = \mathbf{R}(x) \cdot \boldsymbol{\sigma}$$

$$\Psi(x) = \begin{pmatrix} -\sin \frac{\theta(x)}{2} \\ e^{i\phi(x)} \cos \frac{\theta(x)}{2} \end{pmatrix}, \quad \mathbf{P} = \Psi \Psi^\dagger = \begin{pmatrix} \sin^2 \frac{\theta}{2} & -e^{-i\phi} \sin \frac{\theta}{2} \cos \frac{\theta}{2} \\ -e^{i\phi} \sin \frac{\theta}{2} \cos \frac{\theta}{2} & \cos^2 \frac{\theta}{2} \end{pmatrix}$$

$$\Phi = \begin{pmatrix} \cos \frac{\chi}{2} \\ e^{i\xi} \sin \frac{\chi}{2} \end{pmatrix}, \quad \tilde{\Phi} = \begin{pmatrix} \cos \frac{\tilde{\chi}}{2} \\ e^{i\tilde{\xi}} \sin \frac{\tilde{\chi}}{2} \end{pmatrix},$$

$$\Omega = \text{Im Tr log } \tilde{\Phi}^\dagger \mathbf{P} \Phi$$

$$= \text{const.} + \text{Arg} \left(-\sin \frac{\theta}{2} \cos \frac{\chi}{2} + e^{-i(\phi-\xi)} \cos \frac{\theta}{2} \sin \frac{\chi}{2} \right)$$



★ Only the sum of the singularities is an observable

Example III: Unitary Superconductors

★ Eigen states are Doubly degenerate

Parameter x: Momentum k

$$\mathbf{H}\psi = E\psi, \quad \mathbf{H} = \begin{pmatrix} \epsilon \mathbf{I}_2 & \Delta \\ \Delta^\dagger & -\epsilon \mathbf{I}_2 \end{pmatrix} \text{ :4}\times\text{4 Matrix}$$

$$\Delta \equiv |\Delta| \Delta_0, \quad |\Delta| \geq 0, \quad \Delta \Delta^\dagger = |\Delta|^2 \mathbf{I}_2$$

Δ_0 : 2×2 unitary matrix,

$$E = -R, R = \sqrt{\epsilon + |\Delta|^2}$$

$$\psi(\mathbf{w}) = \begin{pmatrix} -\sin \frac{\theta}{2} \mathbf{w} \\ \cos \frac{\theta}{2} \Delta_0^\dagger \mathbf{w} \end{pmatrix}$$

$$\mathbf{w} = \begin{pmatrix} * \\ * \end{pmatrix}, \mathbf{w}^\dagger \mathbf{w} = 1$$

Arbitrary 2 dim. vector

Ex. III: Unitary Superconductors (cont.)

★ Doubly degenerate Multiplet : $M=2$

$$\Psi = (\psi(\mathbf{w}_1), \psi(\mathbf{w}_2))$$

$$\mathbf{w}_i^\dagger \mathbf{w}_j = \delta_{ij}, \quad \mathbf{w}_1 \mathbf{w}_1^\dagger + \mathbf{w}_2 \mathbf{w}_2^\dagger = \mathbf{I}_2$$

★ Projection into the ground state Multiplet

$$\begin{aligned} P = \Psi \Psi^\dagger &= (\psi(\mathbf{w}_1), \psi(\mathbf{w}_2)) \begin{pmatrix} \psi^\dagger(\mathbf{w}_1) \\ \psi^\dagger(\mathbf{w}_2) \end{pmatrix} \\ &= \begin{pmatrix} -\sin \frac{\theta}{2} \mathbf{w}_1 & -\sin \frac{\theta}{2} \mathbf{w}_2 \\ \cos \frac{\theta}{2} \Delta_0^\dagger \mathbf{w}_1 & \cos \frac{\theta}{2} \Delta_0^\dagger \mathbf{w}_2 \end{pmatrix} \begin{pmatrix} -\sin \frac{\theta}{2} \mathbf{w}_1^\dagger & \cos \frac{\theta}{2} \Delta_0 \mathbf{w}_1^\dagger \\ -\sin \frac{\theta}{2} \mathbf{w}_2^\dagger & \cos \frac{\theta}{2} \Delta_0 \mathbf{w}_2^\dagger \end{pmatrix} \\ &= \begin{pmatrix} \mathbf{I}_2 \sin^2 \frac{\theta}{2} & -\Delta_0 \sin \frac{\theta}{2} \cos \frac{\theta}{2} \\ -\Delta_0^\dagger \sin \frac{\theta}{2} \cos \frac{\theta}{2} & \mathbf{I}_2 \cos^2 \frac{\theta}{2} \end{pmatrix} \end{aligned}$$

Ex. III: Unitary Superconductors (cont.2)

$$\Phi = \begin{pmatrix} \mathbf{0} \\ I_2 \end{pmatrix}, \quad \tilde{\Phi} = \begin{pmatrix} I_2 \\ \mathbf{0} \end{pmatrix}$$

$$\det \mathbf{O}_\Phi = \det \Phi^\dagger P \Phi = \cos^4 \frac{\theta}{2} = \mathbf{0} \quad : \text{South Pole}$$

$$\Omega = \text{Arg} \det \tilde{\Phi} P \Phi$$

$$= \text{Arg} \sin^2 \frac{\theta}{2} \cos^2 \frac{\theta}{2} \det \Delta_0 = \text{Arg} \det \Delta_0$$

$$C_S = \frac{1}{\pi} \oint_{\text{south pole}} d\Theta$$

$$\Delta_0 = e^{i\Theta} e^{i\hat{n} \cdot \vec{\sigma}}, \quad |\hat{n}| = 1$$

Summary

- ★ Topological Order
- ★ Chern Numbers
 - ★ Gauge Independent Quantities
 - ★ Need Explicit Gauge Fixing
- ★ Novel Generic Explicit Gauge Fixing
 - ★ Allow Degeneracies
- ★ Applications
 - ★ One Dim.
 - ★ Dirac Monopole
 - ★ Unitary Superconductors

END