

Superconductivity and Chiral Anomalies

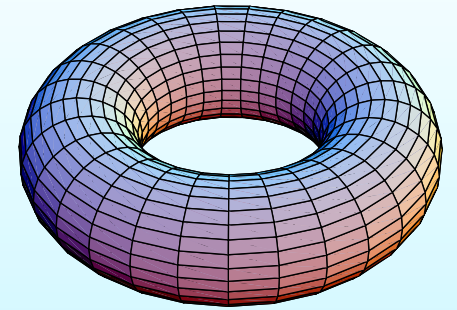
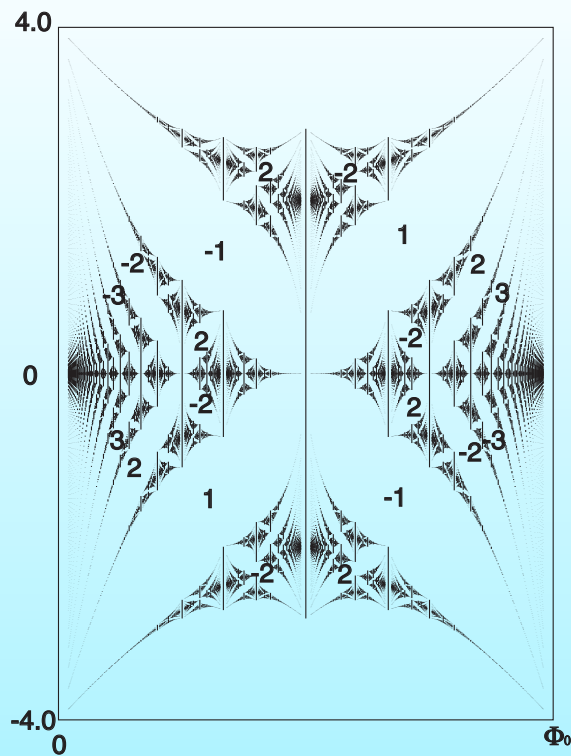
Y. Hatsugai

Department of Applied Physics

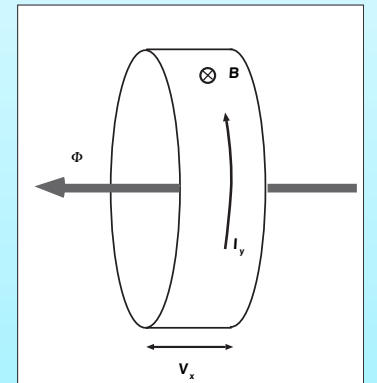
University of Tokyo

with S. Ryu and M. Kohmoto

Ref. Y. Hatsugai, S. Ryu and M Kohmoto, cond-mat/038332



Topological Consideration of Generic Superconductivity



Y. Hatsugai
Department of Applied Physics
University of Tokyo
with S. Ryu and M. Kohmoto

Ref. Y. Hatsugai, S. Ryu and M Kohmoto, cond-mat/038332

Plan of today's Talk

- ★ Topological Order
 - ★ Universal ? Useful?
 - ★ Previous work: without equal spin pairing (ESP)
- ★ Applications
 - ★ Node Structures of Energy Gap (Topological Origin)
 - ★ Nontrivial Boundary Effects (Edge States)
- ★ Generic BCS Hamiltonian on Lattice (With ESP)
 - ★ Pairing Hamiltonian
 - ★ Symmetries
- ★ Topological Consideration with ESP
 - ★ Non-Abelian Gauge Structures
 - ★ First Chern Numbers (Chiral Anomalies)

Topological Orders

- ★ Quantum Hall Effects (Integer & Fractional)
 - ★ Energy Gap and Quantization of Hall Conductance
 - ★ Bulk v.s. Edge
- ★ Haldane Spin Chain with Integral Spin
 - ★ Energy Gap with Topological Origin
 - ★ Kennedy Triplet with finite Length Spin Chains (Edge States)
- ★ Polyacetylene (SSH model)
- ★ High-T_c (Chiral Spin State, Anyon-superconductivity)
- ★ Chirality Order in Itinerant Magnetism
- ★ Polarization of Insulators, KSV formula
- ★ Anisotropic Superconductivity & Superfluidity
 - ★ Dirac Monopoles and Topological Origin of Zero Bias Peak
 - ★ Topological Origin of Gap Nodes of Superconductor
- ★ Carbon Nano-Structures

Topological Aspects of Supr. Without ESP

YH & SR, PRB65, 212510-1-4(2002)

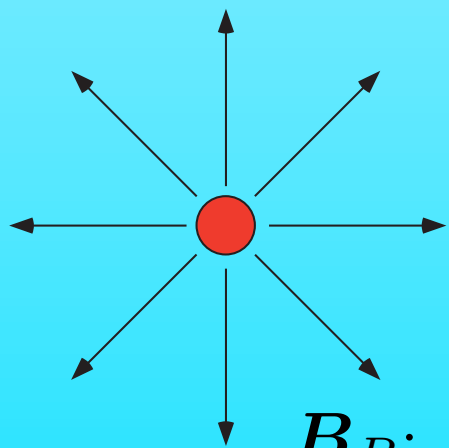
★ BCS Hamiltonian and Parametrization

$$h(\mathbf{k}) = \begin{pmatrix} \epsilon(\mathbf{k}) & \Delta(\mathbf{k}) \\ \Delta^*(\mathbf{k}) & -\epsilon(\mathbf{k}) \end{pmatrix} = \boldsymbol{\sigma} \cdot \mathbf{R}(\mathbf{k}) : \mathbf{k} \rightarrow \mathbf{R} = (R_x, R_y, R_z)$$

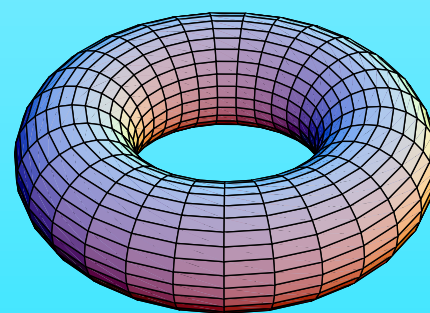
$$h|R\rangle = E_{\pm}|R\rangle, \quad E_{\pm} = \pm|\mathbf{R}|, \quad |R\rangle = \begin{pmatrix} -\sin \frac{\theta}{2} \\ e^{i\phi} \cos \frac{\theta}{2} \end{pmatrix}$$

★ Chern Number and Dirac Monopole

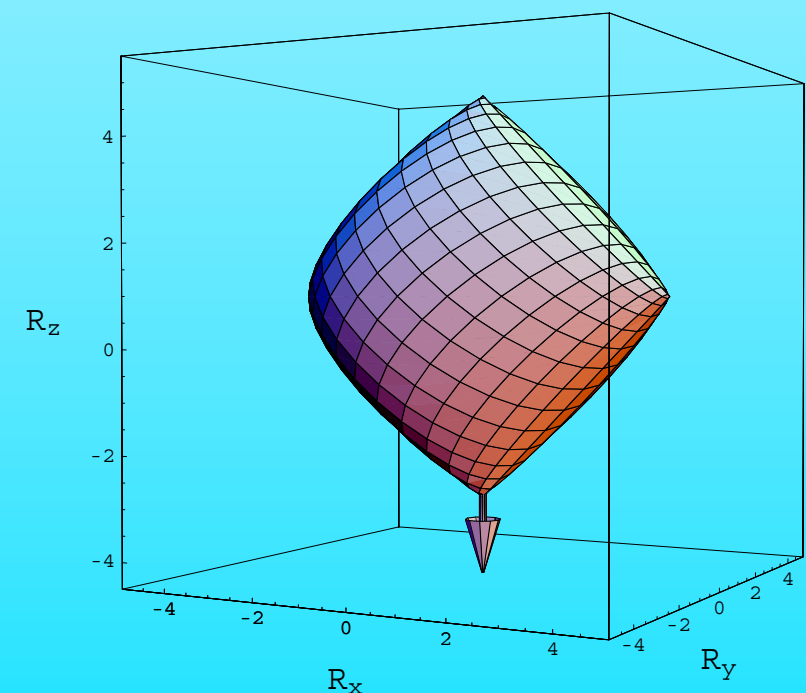
$$\begin{aligned} C &= \frac{1}{2\pi i} \int_{BZ} d\mathbf{S}_k \cdot \mathbf{B}_k = \frac{1}{2\pi i} \int_{BZ} dk_x dk_y \left(\langle \partial_x k | \partial_y \rangle - \langle \partial_y k | \partial_x \rangle \right) \\ &= \frac{1}{2\pi i} \int_{R(BZ)} d\mathbf{S}_R \cdot \mathbf{B}_R, \quad \mathbf{B}_R = \text{rot}_R, \quad \mathbf{A}_R = -\frac{i}{2} \frac{\mathbf{R}}{R^3}, \quad \mathbf{A}_R = \langle R | \nabla R \rangle \\ &= - \int_{V, \partial V=R(BZ)} dV \delta(\mathbf{r}) = \boxed{-N_{\text{covering}}} \end{aligned}$$



\mathbf{B}_R : Monopole at the Origin



Brillouin Zone



Applications of Topological characterization of Superconductivity

★ Boundary Effects: Zero Bias Conductance Peaks

★ Characterization of Gap Nodes in 3D superconductivity

Boundary Effects of Superconductivity : Topological Origin

★ Zero Bias Conductance Peak (ZBCP) in Anisotropic Superconductivity

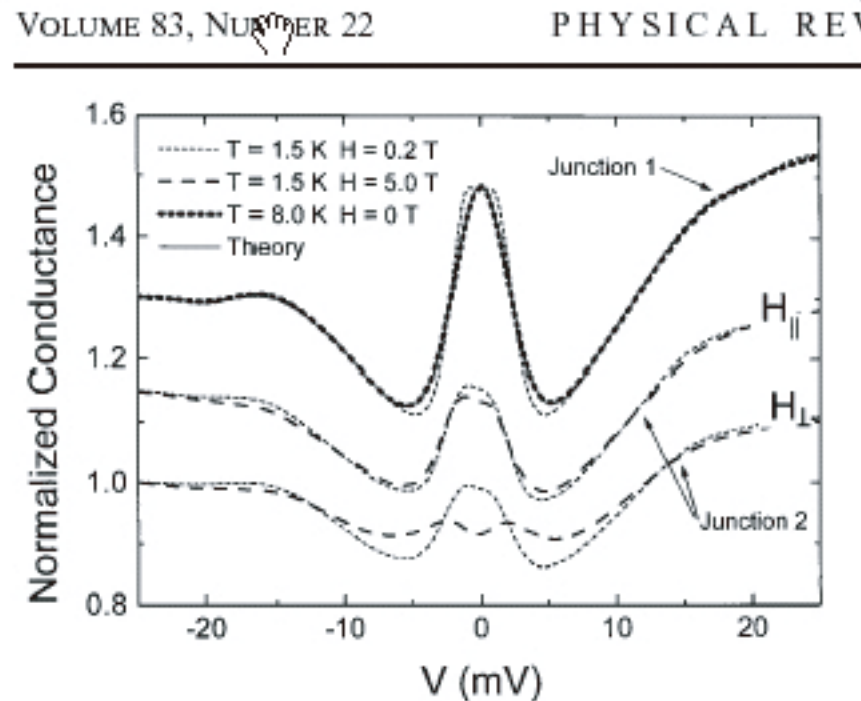


FIG. 1. The temperature dependence of the in-plane tunneling conductance of (110)-YBCO/Pb junctions as function of bias and magnetic field is shown. The field H is always applied parallel to the junction interface, and either parallel or perpendicular to the YBCO ab planes, as labeled. The theoretical curve (solid line) is calculated using the FRS theory [11], as described in the text. For junction 2, low-temperature spectra for low and high applied magnetic field are shown. Note the field-induced splitting in the ZBCP is strongly anisotropic with respect to the field orientation. Data obtained on junction 1 show reproducibility between junctions for data taken at low temperature and field ($T = 1.5$ K, $H = 0.2$ T). Zero-field data taken at a temperature above the T_c of Pb is also shown for junction 1.

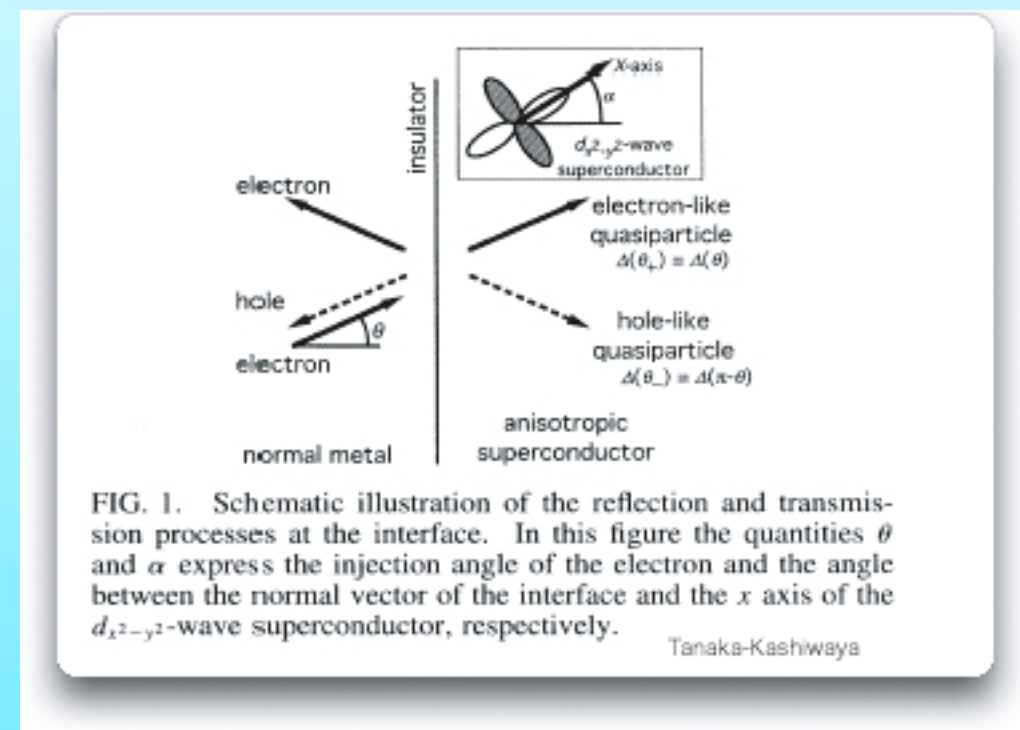


FIG. 1. Schematic illustration of the reflection and transmission processes at the interface. In this figure the quantities θ and α express the injection angle of the electron and the angle between the normal vector of the interface and the x axis of the $d_{x^2-y^2}$ -wave superconductor, respectively.

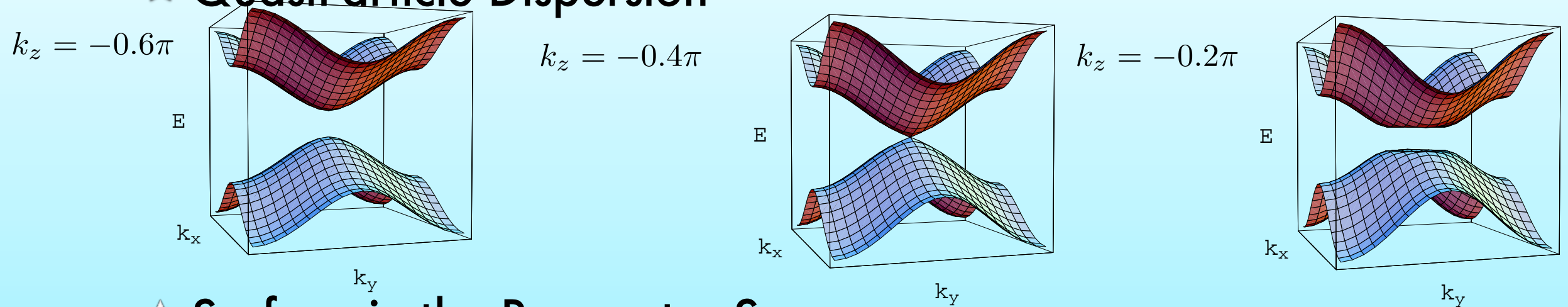
Tanaka-Kashiwaya

- L. J. Buchholtz, G. Zwicknagl, Phys. Rev. B 23, 5788 (1981) (p-wave)
- C.-R. Hu, Phys. Rev. Lett. 72, 1526 (1994) (d wave)
- S. Kashiwaya, Y. Tanaka, Phys. Rev. Lett. 72, 1526 (1994)
- M. Matsumoto and H. Shiba, JPSJ, 1703 (1995)
- M. Aprili, E. Badica, and L. H. Greene, Phys. Rev. Lett. 83, 4630 (1999)

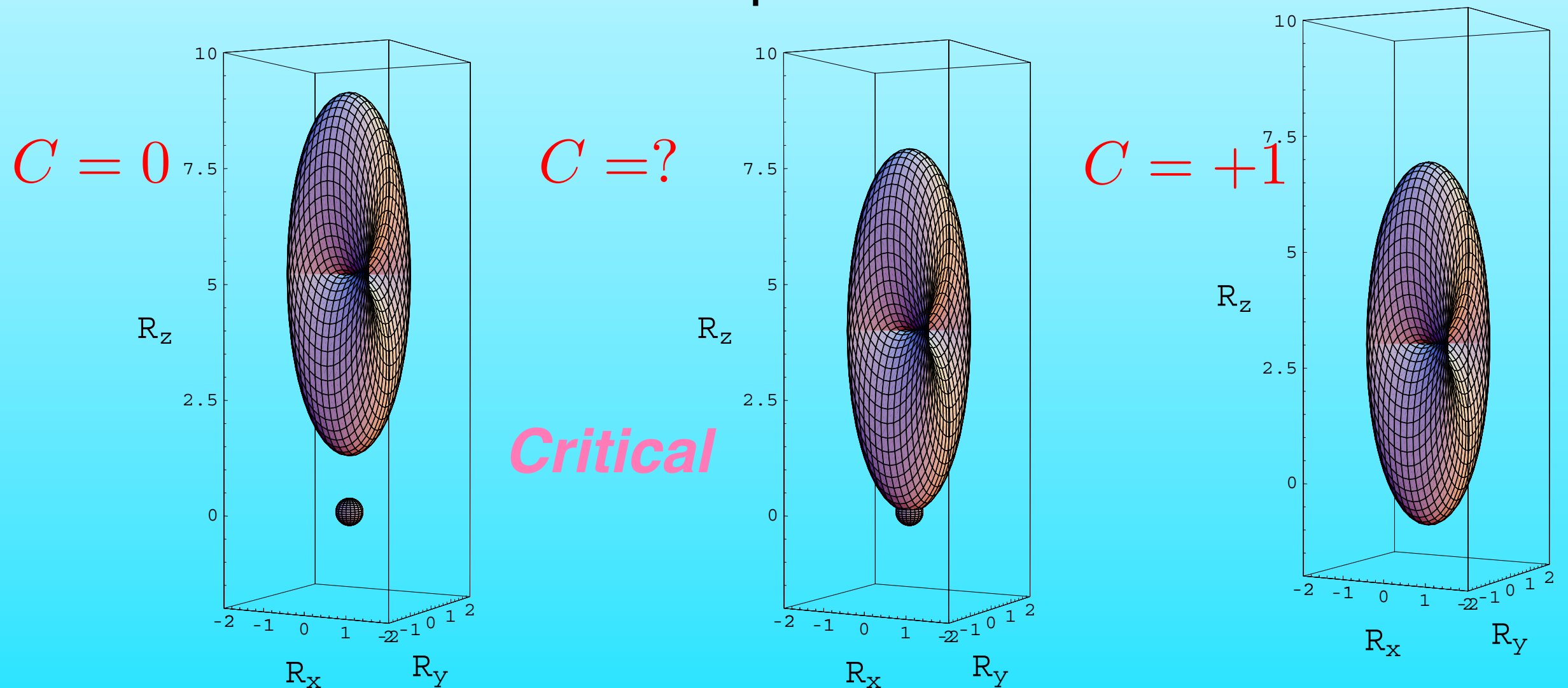
Topological Origin of Zero energy Edge States, Ryu-Hatsugai, PRL, 89, 077002 (2002)

Anderson-Brinkman-Morel (ABM) State

★ QuasiParticle Dispersion

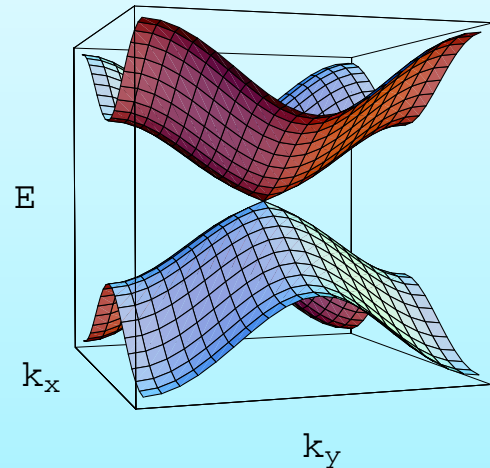


★ Surface in the Parameter Space



Gap Node as a Quantum Phase Transition

Topological Quantum Phase Transition of 2D sliced systems
with the third momentum as a parameter



The Chern Number Changes

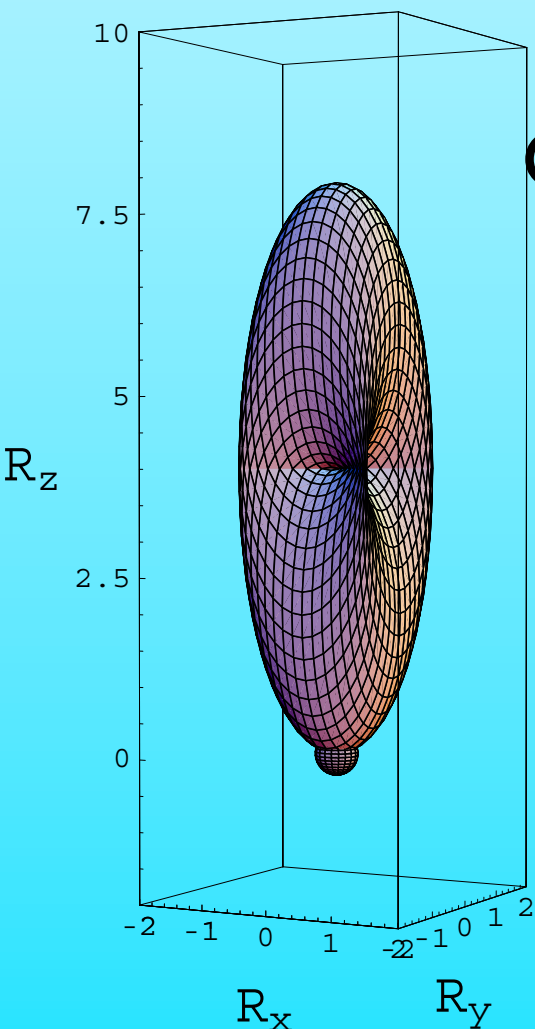


Gap Node Appears Due to the Topological Constraint



Point Nodes are Generic

Line Node : Due to additional Symmetry
(Time reversal)



Generic BCS Hamiltonian on Lattice

(ref. Sigrist-Ueda, k-space)

★ Manybody Hamiltonian

$$H = H[\{c, c^\dagger\}] = \sum_{ij} t_{ij} c_{i\sigma}^\dagger c_{j\sigma} + \sum_{ij} V_{ij}^{\sigma_1\sigma_2;\sigma_3\sigma_4} c_{i\sigma_1}^\dagger c_{j\sigma_2}^\dagger c_{j\sigma_3} c_{i\sigma_4}$$

Hermiticity $\rightarrow t_{ij} = t_{ji}^*, V_{ij}^{\sigma_1\sigma_2;\sigma_3\sigma_4} = (V_{ij}^{\sigma_4\sigma_3;\sigma_2\sigma_1})^*$

Symmetrization $\rightarrow V_{ij}^{\sigma_1\sigma_2;\sigma_3\sigma_4} = V_{ji}^{\sigma_2\sigma_1;\sigma_4\sigma_3}$

$SU(2)$ invariance : $H' = H[\{c', c'^\dagger\}] = H, \quad c'_{i\sigma} = U^{\sigma\sigma'} c_{i\sigma'}$
 $U^\dagger U = 1_2, \quad \det U = 1$

★ Mean Field Hamiltonian with Pairing Amplitude

$$H \approx \mathcal{H} + E_0$$

$$\mathcal{H} = \sum_{ij} t_{ij} c_{i\sigma}^\dagger c_{j\sigma} + \sum_{ij} \left(\Delta_{ij}^{\sigma_4\sigma_3*} c_{j\sigma_3} c_{i\sigma_4} + \Delta_{ij}^{\sigma_1\sigma_2} c_{i\sigma_1}^\dagger c_{j\sigma_2}^\dagger \right)$$

$$E_0 = - \sum_{ij} \text{Tr}_{\sigma\sigma'} \Delta_{ij}^\dagger V^{-1} \Delta_{ij}$$

$$\Delta_{ij}^{\sigma_1\sigma_2} = V_{ij}^{\sigma_1\sigma_2;\sigma_3\sigma_4} \langle c_{j\sigma_3} c_{i\sigma_4} \rangle$$

$$\frac{\partial F}{\partial \Delta_{ij}^{\sigma_4\sigma_3*}} = 0, \quad e^{-\beta F} = \text{Tr} e^{-\beta(\mathcal{H} + E_0)}$$

Symmetries of Order Parameters

★ Parity and Rotational Symmetry in Spin Space

$$\Delta_{ij}^{\sigma_1 \sigma_2} = -\Delta_{ji}^{\sigma_2 \sigma_1} \quad \text{Fermion anticommutations}$$

$$\text{Parity Even: singlet} \quad \Delta_{ij}^{\sigma \sigma'} = -\Delta_{ij}^{\sigma' \sigma} = \Delta_{ji}^{\sigma \sigma'}$$

$$\text{Parity Odd : triplet} \quad \Delta_{ij}^{\sigma \sigma'} = \Delta_{ij}^{\sigma' \sigma} = -\Delta_{ji}^{\sigma \sigma'}$$

★ Matrix Notations

$$\text{singlet} \quad \Delta_{ij} = -\tilde{\Delta}_{ij} = \begin{pmatrix} & \psi_{ij} \\ -\psi_{ij} & \end{pmatrix} = \psi_{ij} i\sigma_y$$

$$\psi_{ij} = \psi_{ji} \quad (\text{even})$$

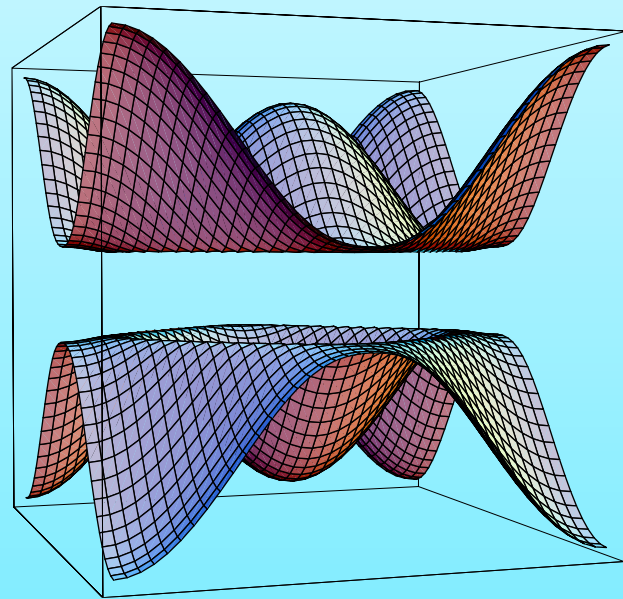
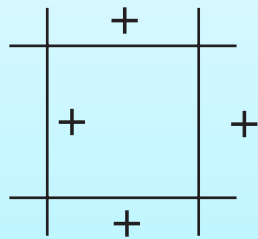
$$\text{triplet} \quad \Delta_{ij} = \tilde{\Delta}_{ij} = \begin{pmatrix} -d_{ij}^x + id_{ij}^y & d_{ij}^z \\ d_{ij}^z & d_{ij}^x + id_{ij}^y \end{pmatrix} = (\vec{d}_{ij} \cdot \vec{\sigma}) i\sigma_y$$

$$\vec{d}_{ij} = -\vec{d}_{ji} \quad (\text{odd})$$

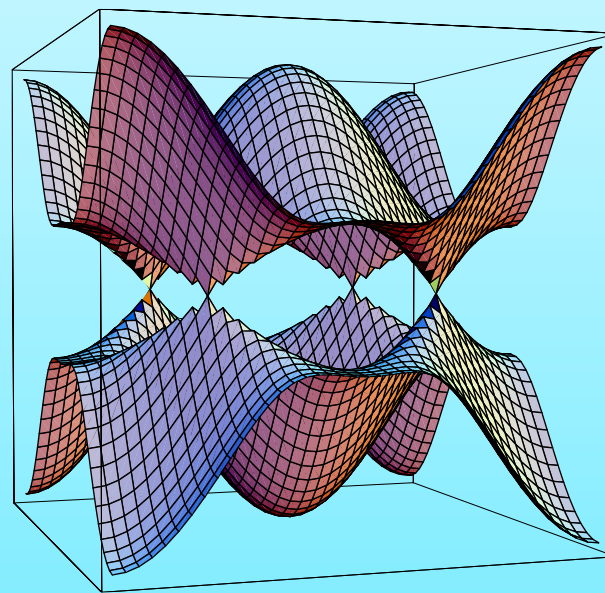
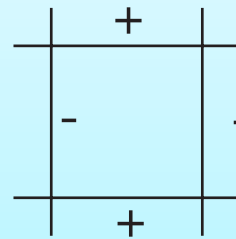
Ex. Order Parameters and Q.P. Dispersions

★ 2D Examples (Singlet)

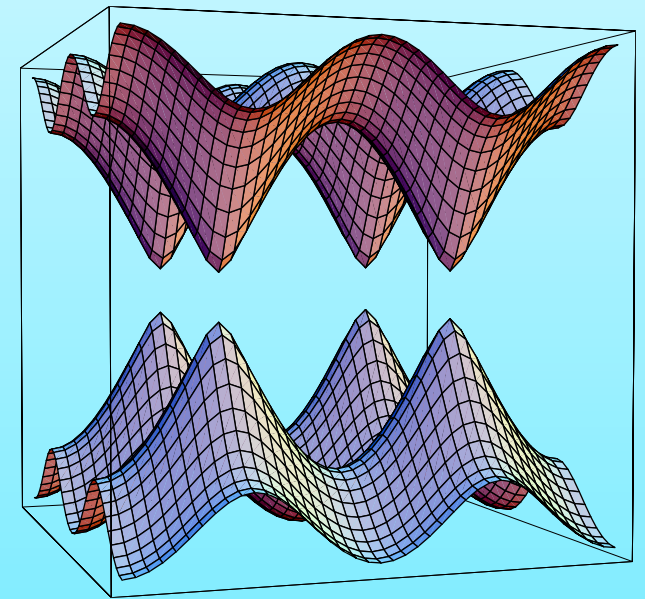
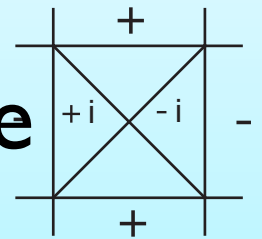
s-wave



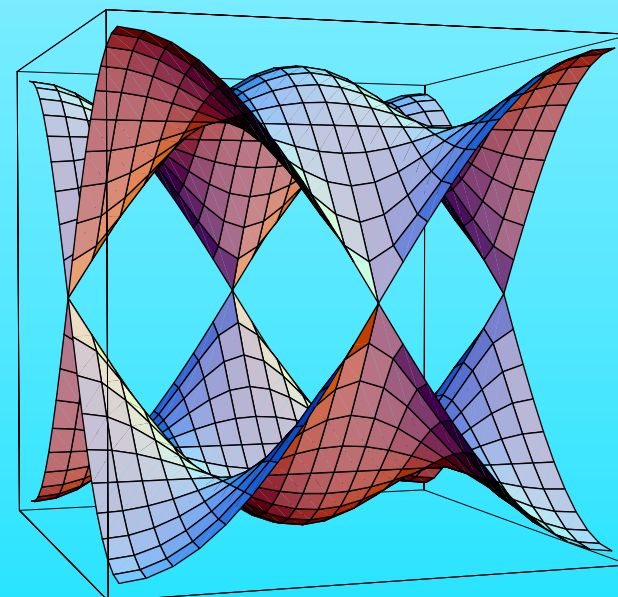
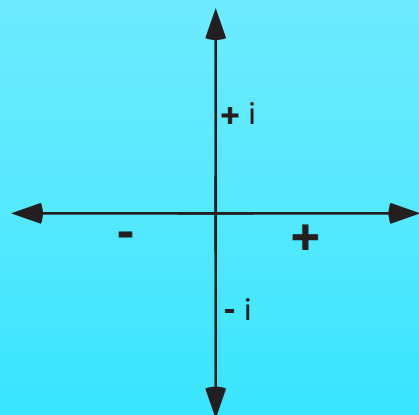
d-wave



d+id-wave



★ 2D Examples (Triplet) : Chiral p wave



Generic BCS Hamiltonian: 4 Component Spinors

★ With Translation Symmetry $\mathcal{H} = \sum_{\vec{k}} c(\vec{k}) h(\vec{k}) c(\vec{k}) + const.$

$$h(\vec{k}) = \begin{pmatrix} \epsilon(\vec{k}) & \Delta(\vec{k}) \\ \Delta^\dagger(\vec{k}) & -\epsilon(\vec{k}) \end{pmatrix} \quad (4 \times 4 \text{ for each } \vec{k})$$

$\epsilon(\vec{k}) = \epsilon(-\vec{k}) : \quad t_{ij} : \text{real}$

$$c^\dagger(\vec{k}) = (c_\uparrow^\dagger(\vec{k}), c_\downarrow^\dagger(\vec{k}), c_\uparrow(-\vec{k}), c_\downarrow(-\vec{k}))$$

★ Order Parameters in Momentum Space

$$\Delta(-\vec{k}) = -\tilde{\Delta}(\vec{k}) \quad \text{Fermion anticommutations}$$

singlet $\Delta(\vec{k}) = \psi(\vec{k}) i \sigma_y$

$$\psi(-\vec{k}) = \psi(\vec{k}), \quad \tilde{\Delta} = -\Delta$$

triplet $\Delta(\vec{k}) = (\vec{d}(\vec{k}) \cdot \vec{\sigma}) i \sigma_y$

$$\vec{d}(-\vec{k}) = -\vec{d}(\vec{k}), \quad \tilde{\Delta} = \Delta$$

Particle-Hole Symmetry & Unitarity

★ Particle Hole Symmetry
$$h \begin{pmatrix} u \\ v \end{pmatrix} = \begin{pmatrix} \epsilon & \Delta \\ \Delta^\dagger & -\epsilon \end{pmatrix} \begin{pmatrix} u \\ v \end{pmatrix} = E \begin{pmatrix} u \\ v \end{pmatrix}$$

★ For Singlet Order

$$E : \begin{pmatrix} u \\ v \end{pmatrix} \rightleftharpoons -E : \begin{pmatrix} v^* \\ u^* \end{pmatrix} = (\rho_x \otimes 1_\sigma) K \begin{pmatrix} u \\ v \end{pmatrix}$$

★ For Triplet Order

$$E : \begin{pmatrix} u \\ v \end{pmatrix} \rightleftharpoons -E : \begin{pmatrix} -v^* \\ u^* \end{pmatrix} = -i(\rho_y \otimes 1_\sigma) K \begin{pmatrix} u \\ v \end{pmatrix}$$

★ Consider h^2 !
$$h^2 = \epsilon^2 + \begin{pmatrix} \Delta \Delta^\dagger & 0 \\ 0 & \Delta^\dagger \Delta \end{pmatrix}$$

$$\Delta \Delta^\dagger = (\Delta^\dagger \Delta)^* \text{ \& hermite}$$

$$= \begin{cases} |\psi|^2 & : \text{singlet} \\ |\vec{d}|^2 + \vec{\sigma} \cdot \vec{q} & : \text{triplet} \quad \vec{q} = i\vec{d} \times \vec{d}^* \end{cases}$$

$$E = \pm \sqrt{\epsilon^2 + |\vec{d}|^2 \pm q} \quad (\vec{\sigma} \cdot \vec{q}) \mathbf{u}_\pm = \pm q \mathbf{u}_\pm$$

$$\mathbf{v}_\pm = -i\sigma_y \mathbf{u}_\mp$$

$$q = 0 \quad : \text{Unitary}$$

$$q \neq 0 \quad : \text{Non Unitary}$$

Non-Abelian Gauge Structures for the Unitary Case

★ Quasiparticles are doubly degenerate

★ Define Non-Abelian Connection (Wilczek-Zee)

$$|\mathbf{k}\alpha\rangle = |\alpha\rangle, \quad \alpha = 1, \dots, M = 2$$

$$A_i^{\alpha\beta} = \langle \alpha | \partial_i | \beta \rangle, \quad \mathcal{A}^{\alpha\beta} = A_i^{\alpha\beta} dk_i, \quad \partial_i = \partial_{k_i}, i = x, y, z, \quad (\mathcal{A})^{\alpha\beta} \equiv \mathcal{A}^{\alpha\beta},$$

$$\mathcal{F} \equiv d\mathcal{A} + \mathcal{A} \wedge \mathcal{A} = \frac{1}{2!} F_{ij} dk_i \wedge dk_j, \quad F_{ij} = \partial_i A_j - \partial_j A_i + [A_i, A_j]$$

★ Base Change in the degenerate space: $|\alpha\rangle \rightarrow |\bar{\alpha}\rangle = |\alpha\rangle \omega^{\alpha\bar{\alpha}}, \quad \omega : \text{unitary}$

★ Fixing a phase is not enough to uniquely define the Connections

$$\bar{\mathcal{A}} = \omega^\dagger \mathcal{A} \omega + \omega^\dagger d\omega, \quad \bar{\mathcal{F}} = \omega^\dagger \mathcal{F} \omega$$

$$\text{Tr } \bar{\mathcal{F}} = \text{Tr } \mathcal{F} : \quad \text{Invariant}$$

Topological Invariants for the Unitary Case

★ The First Chern Number: Chiral Anomaly

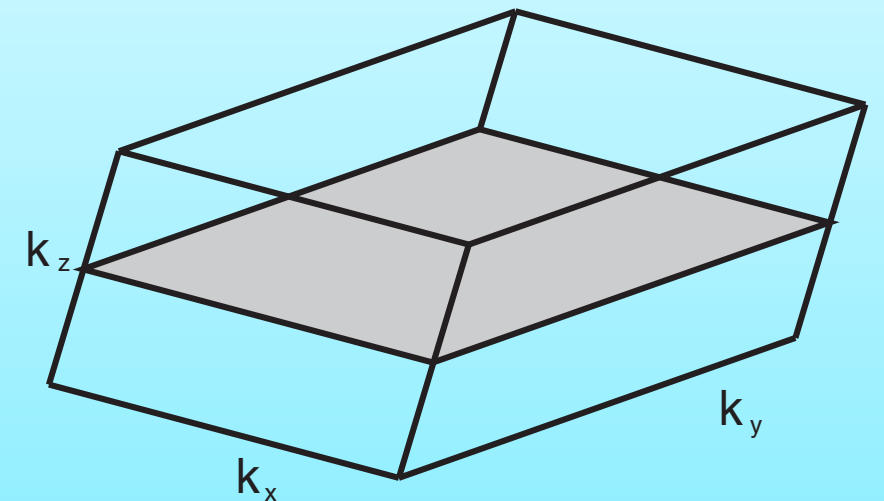
$$C_i(k_i) = \frac{1}{2 \cdot 2!} \epsilon_{ijk} \frac{1}{2\pi i} \int_{T_{jk}^2} \text{Tr } \mathcal{F}$$

$$C_x(k_x) = \frac{1}{2\pi i} \int_{T_{yz}^2} dk_y dk_z B_x$$

$$C_y(k_y) = \frac{1}{2\pi i} \int_{T_{zx}^2} dk_z dk_x B_y$$

$$C_z(k_z) = \frac{1}{2\pi i} \int_{T_{xy}^2} dk_x dk_y B_z$$

$$B_x = \text{Tr } \mathbf{F}_{yz}/2, B_y = \text{Tr } \mathbf{F}_{zx}/2, B_z = \text{Tr } \mathbf{F}_{xy}/2$$



**3D = 2D Cross Sections
with the third dimension
as a parameter**

Chern Numbers for the Unitary Order

★ Gauge Fixing: Bases in Degenerate

$$\Delta_0|1\rangle = e^{-i\phi_1}|1\rangle$$

$$\Delta^\dagger \Delta = |\Delta|^2 1_2, \quad \Delta = |\Delta| \Delta_0, \quad \Delta_0 : \text{Unitary} \quad \Delta_0|2\rangle = e^{-i\phi_2}|2\rangle$$

★ Reduction from 4×4 to 2×2 (Without ESP)

$$\begin{pmatrix} \epsilon 1_\sigma & |\Delta| \Delta_0 \\ |\Delta| \Delta_0^{-1} & -\epsilon 1_\sigma \end{pmatrix} \begin{pmatrix} u \\ v \end{pmatrix} = E \begin{pmatrix} u \\ v \end{pmatrix} \Rightarrow \begin{pmatrix} \epsilon & |\Delta| \\ |\Delta| & -\epsilon \end{pmatrix}_\rho \otimes 1_\sigma \begin{pmatrix} u \\ \Delta_0 v \end{pmatrix} = E \begin{pmatrix} u \\ \Delta_0 v \end{pmatrix}$$

$$\begin{pmatrix} u \\ \Delta_0 v \end{pmatrix} = |R\rangle_\rho \otimes |i\rangle_\sigma, \quad |R\rangle_\rho = \begin{pmatrix} -\sin \frac{\theta}{2} \\ \cos \frac{\theta}{2} \end{pmatrix}$$

★ Non-Abelian Connection

$$|\psi_\alpha\rangle = \begin{pmatrix} u \\ v \end{pmatrix}_\alpha = \begin{pmatrix} -\sin \frac{\theta}{2} |\alpha\rangle_\sigma \\ e^{i\phi_\alpha} \cos \frac{\theta}{2} |\alpha\rangle_\sigma \end{pmatrix}, \quad \alpha = 1, 2$$

$$A_i^{\alpha\beta} = \langle \psi_\alpha | \partial_i | \psi_\beta \rangle = \langle R_\alpha | \partial_i | R_\beta \rangle_\rho \langle \alpha | \beta \rangle_\sigma + \langle R_\alpha | R_\beta \rangle_\rho \langle \alpha | \partial_i | \beta \rangle_\sigma$$

C. Numbers for the Unitary Order (cont.)

★ Explicit Calculations

$$A_i^{\alpha\beta} = A_i^\alpha(\rho)\delta_{\alpha\beta} + \langle R_\alpha | R_\beta \rangle A_i^{\alpha\beta}(\sigma)$$

$$A_i^\alpha(\rho) = \langle R_\alpha | \partial_i | R_\alpha \rangle_\rho, \quad |R_\alpha\rangle_\rho = \begin{pmatrix} -\sin \frac{\theta}{2} \\ e^{i\phi_\alpha} \cos \frac{\theta}{2} \end{pmatrix}$$

$$A_i^{\alpha\beta}(\sigma) = \langle \alpha | \partial_i | \beta \rangle_\sigma = (\mathbf{U}^\dagger \partial_i \mathbf{U})_{\alpha\beta}$$

$$\mathbf{A}_i(\sigma) = \mathbf{U}^\dagger \partial_i \mathbf{U}, \quad \mathbf{U} = (|1\rangle_\sigma, |2\rangle_\sigma)$$

★ Fictitious Magnetic Field

Sum rule

$$B_i = B_i(\rho) + B_i(\sigma)$$

$$B_i(\rho) = \epsilon_{ijk} \text{Tr} \partial_j \mathbf{A}_k(\rho)$$

$$B_i(\sigma) = \epsilon_{ijk} \text{Tr} \partial_j \mathbf{A}_k(\sigma) = 0$$

$$B_i(\sigma) = \epsilon_{ijk} \partial_j \text{Tr} \mathbf{A}_k(\sigma)$$

$$= \epsilon_{ijk} \text{Tr} \partial_j (\mathbf{U}^{-1} \partial_k \mathbf{U})$$

$$= -\epsilon_{ijk} \text{Tr} (\mathbf{U}^{-1} \partial_j \mathbf{U} \mathbf{U}^{-1}) \partial_k \mathbf{U}$$

$$= -\epsilon_{ijk} \text{Tr} (\mathbf{U}^{-1} \partial_j \mathbf{U}) (\mathbf{U}^{-1} \partial_k \mathbf{U})$$

$$= 0, \quad (\partial \mathbf{U} = \mathbf{U}^{-1} \partial \mathbf{U} \mathbf{U}^{-1})$$

Non Unitary Cases (4 by 4)

- ★ Classification by the Helicity $\vec{q} = i\vec{d} \times \vec{d}^*$ $\not{d} u_{\pm} = \pm q u_{\pm}$
- ★ Reduction to traceless 2 by 2 Hamiltonian
 - ★ Berry's Parametrization
 - ★ Dirac Monopoles
 - ★ Graphical Treatment for the Chern number

helicity: $+ q$ $H_{eff}^+ = \not{R}_+$ $\forall \phi = \vec{\sigma} \cdot \vec{O}$

$$\vec{R}_+ = (\epsilon, \text{Im} \langle \not{d} \rangle_{+-}, \text{Re} \langle \not{d} \rangle_{+-}),$$

$$E_+ = \pm \sqrt{\epsilon^2 + |\vec{d}|^2 + q} = \pm |\vec{R}_+|$$

helicity: $- q$

$$H_{eff}^- = \not{R}_-$$

$$\vec{R}_- = (\epsilon, -\text{Im} \langle \not{d}^* \rangle_{+-}, \text{Re} \langle \not{d}^* \rangle_{+-}),$$

$$E_- = \pm \sqrt{\epsilon^2 + |\vec{d}|^2 - q} = \pm |\vec{R}_-|$$